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Abstract. Anemia associated with intestinal parasites and malnutrition is the leading cause of morbidity and mortality in small ruminants worldwide. Qualitative scoring of conjunctival redness has been developed so that farmers can gauge anemia in sheep and goats to identify animals that require treatment. For clinically relevant anemia diagnosis, complete blood count-comparable quantitative methods often rely on complicated and expensive optical instruments, requiring detailed spectral information of hemoglobin. We report experimental and numerical results for simple, yet reliable, noninvasive hemoglobin detection that can be correlated with laboratory-based blood hemoglobin testing for anemia diagnosis. In our pilot animal study using calves, we exploit the third eyelid (i.e., palpebral conjunctiva) as an effective sensing site. To further test spectrometer-free (or spectrometerless) hemoglobin assessments, we implement full spectral reconstruction from RGB data and partial least square regression. The unique combination of RGB-based spectral reconstruction and partial least square regression could potentially offer uncomplicated instrumentation and avoid the use of a spectrometer, which is vital for realizing a compact and inexpensive hematology device for quantitative anemia detection in the farm field. © 2016 Society of Photo-Optical Instrumentation Engineers (SPIE) [DOI: 10.1117/1.JBO.21.10.107001]

Keywords: spectrometer-free; anemia; hemoglobin; noninvasive detection; partial least square regression.

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# 1 Introduction

Anemia, which is defined as an absolute decrease in red blood cells in the serum, is a common heath problem in farm animals. While anemia in livestock may be associated with nutritional deficiency (e.g., iron, copper, and vitamin E), anemia in domestic animals is primarily caused by infestation by nematode parasites. 1,2 The most common test for anemia diagnosis in livestock is blood hemoglobin (Hgb) content, which is typically included in a complete blood count (CBC). Laboratory testing can be used to screen for underlying diseases before clinical signs or loss of production are present. Owing to the recent advances in hematology technologies, compact and portable (in-house) blood analyzers are currently available in clinical veterinary settings.<sup>3</sup> However, such systems require expensive analytical cartridges with short shelf lives that only operate reliably at a constant temperature or refrigerated storage. As a result, only veterinary research centers and hospitals are able to purchase, operate, and maintain these systems. In this respect, the conjunctiva mucosa color has been assessed so that farmers can gauge anemia to identify animals that require treatments in a relatively simple manner, as shown in Fig. 1(a). The most commonly used method for conjunctival redness is the FAMACHA system, 4,5-7 which allows simple clinical evaluation of anemia by use of an eye color chart.<sup>8,9</sup> As a qualitative assessment of anemia, FAMACHA has been successfully used for aiding anemia diagnosis for small ruminant management,

particularly in sheep and goats. Although it has been tested for assessing anemia in cattle and camelids, 5,10,11 FAMACHA charts have not been validated in those species. Overall, due to the limited sensitivity and specificity, this would be inappropriate for being used on its own as a stand-alone system.

In search for noninvasive anemia detection strategies with high accuracy and sensitivity comparable to blood Hgb concentration (i.e., gold standard and definitive diagnosis), it appears that the eye conjunctiva would be an ideal anemia detection site due to the microvasculature exposed on the mucosal surface. 12,13 Indeed, optical assessment of the inner eyelid has received attention in anemic patients for assessing Hgb content from human individuals, which could be highly correlated with a lab-based blood Hgb test. 14-19 For accurate and precise Hgb measurements, typical spectroscopic technologies heavily rely on the use of spectrometers, spectrographs, and liquid tunable crystal filters. <sup>20,21</sup> However, these critical optical components for highly accurate and sensitive Hgb measurements significantly limit the development of compact, portable, and cost-effective devices. For example, such spectroscopic measurements would not be ideal for developing compact and economical photometric anemia detection that could potentially be integrated into smartphones. In addition, although there is a variety of mobile Hgb measurement devices for humans, they have not been evaluated for clinical use in other species.

In this study, we demonstrate the feasibility of simple, yet reliable, noninvasive Hgb detection that can be significantly

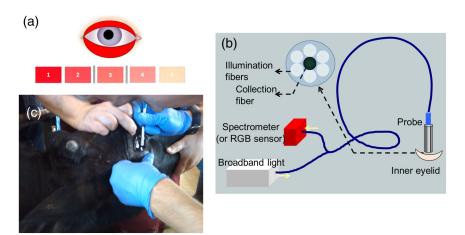
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**Fig. 1** (a) Qualitative scoring of the conjunctiva mucosa color so that farmers can gauge anemia to identify animals that require treatments. (b) Probe configuration and system setup for optical reflectance measurements. (c) Photography of data acquisition from the third eyelid of a calf in the farm field.

correlated with laboratory blood Hgb test for anemia diagnosis in livestock. The main objective is to test optical Hgb measurement methods that can be highly comparable to blood Hgb tests in CBC. We report experimental and numerical results from a pilot animal study in cattle, in particular calves. As an effective sensing site, we exploit the third eyelid (i.e., palpebral conjunctiva) of cattle that have easily accessible microvasculature, because other absorbers (e.g., melanin, bilirubin, and  $\beta$ -carotene) in the skin can be fundamental obstacles for accurately assessing Hgb content for reliable anemia detection. For a simple detection system, we make use of an optical reflectance probe consisting of illumination and collection channels coupled with a visible light source and a spectrometer, respectively. A relatively large contacting area of the optical probe minimizes potential measurement variations associated pressure exerted on the tissue surface. Applying partial least square regression (PLSR) for spectral correlations with blood Hgb content, we analyze spectral data obtained from a series of phantom studies as well as bull calves from a commercial veal calf facility. To further test the concept of spectrometerless (or spectrometer-free) methods, we numerically convert full spectral information to RGB signals that could easily be acquired using a three-color sensor camera. By inversely reconstructing the full spectra from the RGB signals, we compare correlations between eyelid Hgb and conventional blood Hgb content in CBC. We envision that the proposed detection scheme will offer simple instrumentation and avoid the use of a spectrometer, which will be vital for realizing an uncomplicated and costeffective hematology device for quantitative anemia detection in the farm field.

# 2 Methods and Algorithms

# **2.1** Blood Hgb Correlation Models Using Full Spectra Data

To identify correlations between measured reflectance spectra and blood Hgb (i.e., gold standard and definitive diagnosis), we made use of a PLSR method. PLSR has been broadly used to model relationships among observed variables and response (or outcome) variables in the fields of chemometrics, food safety, industrial processes, and system biology.<sup>22–28</sup> Because PLSR assumes that observed variables (i.e., predictors)

for characterizing a system or a process can be represented by a small number of latent variables (also known as components), it can work effectively, in particular, for analyzing a wide spectrum of biological data. Inherently, reflectance spectra (i.e., observed variables) often have multicollinearity as hyperspectral information. Thus, only a few underlying latent variables, which are linear combinations of original observed variables, are likely to represent most of variations in the original predictors. Although PLSR is similar to principal component regression (PCR) in terms of extracting principal components, it clearly distinguishes itself from PCR by taking variations in predictors and response variables (i.e., outcome variables) into account simultaneously.<sup>29,30</sup> PLSR projects high-dimensional original variables (e.g., reflectance spectra) onto a lower space of latent variables. Such transformation allows us to examine the significance of individual observed variables, making it possible to eliminate insignificant variables as variable selection methods.<sup>31</sup> Overall, PLSR is a distinct method for constructing a predictive model by including both predictor variables and response variables when the predictor variables are highly collinear.

In addition, the use of PLSR is beneficial in avoiding overfitting when the size of predictors is larger than the sample size, in which multivariate linear regression is often prone to overfitting.<sup>30</sup> In our previous studies,<sup>32–34</sup> we intensively used model-based Hgb detections that require a priori information of the absorption spectra of all possible absorbing molecules in the skin. However, in this method, all possible absorbers in tissue should be included for highly reliable Hgb quantification, which is the primary objective of this study. In this respect, PLSR provides an alternative yet efficient method for Hgb spectral analyses by incorporating other potential yet minimal spectral features for accurate and sensitive Hgb quantification. Given such suitability for spectral analyses, we applied PLSR to build a model to determine blood Hgb levels (i.e., outcome variable) from measured reflectance spectra (i.e., predictors) in our study. After the model was trained appropriately, we further evaluated the ability for predicting blood Hgb levels for new spectral reflectance data using 10-fold cross-validation.

### **2.2** Full Spectral Reconstruction from RGB

Recent optical sensing technologies heavily rely on spectrometers, spectrographs, and tunable color filters, all of which significantly limit the development of simple and economical devices. <sup>21,35,36</sup> As the first step for realizing blood Hgb test-comparable photometric anemia detection superior to the conventional color chart methods (e.g., FAMACHA), we investigated spectral reconstruction from RGB data that are commonly acquired from conventional cameras. This approach of spectral reconstruction from RGB data could potentially offer simple instrumentation and operation, using the currently available smartphone technologies. <sup>21,35,36</sup> In this respect, we performed numerical experiments to test the feasibility that a blood Hgb level can be sensitively and accurately predicted using a three-color sensor-based system in a similar manner of our previous study. <sup>21</sup> First, RGB signals were acquired from measured reflectance spectra data. A camera response of RGB signals were computed such that

$$x_{3\times 1} = S_{3\times N} r_{N\times 1} + e_{3\times 1},\tag{1}$$

where x is a  $3 \times 1$  vector corresponding to RGB signals, S is a  $3 \times N$  matrix of the spectral sensitivities of the RGB camera sensor, r is an  $N \times 1$  vector that has the reflectance intensity as a function of wavelength  $\lambda$ , and e is a  $3 \times 1$  vector of system noise with zero mean. N indicates the number of wavelengths. In our case, N = 201 from 400 to 800 nm with a spectral interval of 2 nm. In general, S can be obtained from the sensor manufacturer or be estimated mathematically from training color samples.  $^{37,38}$  In our case, the spectral sensitivities of a three-color CCD sensor (Sony ICX625) were used to obtain RGB signals from the measured reflectance spectra,

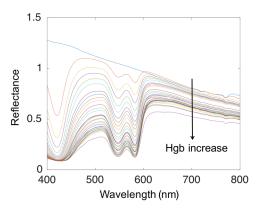
$$\hat{R}_{m \times N} = X_{m \times 3} T_{3 \times N}. \tag{2}$$

Second, once RGB signal  $x_{3\times 1}$  was obtained for each spectrum,  $\hat{R}_{m\times N}$  and  $X_{m\times 3}$  were constructed by stacking the reflectance spectra  $r_{N\times 1}$  and RGB data  $x_{3\times 1}$  from several independent samples, where m is the number of different samples and N is the number of wavelengths.  $\hat{R}_{m \times N}$  and  $X_{m \times 3}$  are the collections of the measured reflectance spectra and RGB data that are built up from m different samples. With this data set, we learned a transformation matrix  $T_{3\times N}$  that converts RGB data into reflectance spectra, which is unknown and can be solved from Eq. (2). Then, we can predict a reflectance spectrum  $\hat{r}$  from a new RGB signal x by multiplying x by T. To improve the prediction accuracy for spectral reconstruction, we selected multivariate second-order polynomial regression with leave-one-out crossvalidation. 21,35 Third, using the reconstructed reflectance spectra, we reliably quantified blood Hgb levels using the PLSR method described in Sec. 2.1. In this final step, we used 10-fold cross-validation to strengthen the validation process of the Hgb correlation model in which a testing data set did not include any training data sets. Given the bovine data set, we used the in-sample data for building the correlation model and employed the out-of-sample data to test the performance of the model. Thus, we were able to avoid overfitting of the data by not reusing the same data to both train and test the model.

# 3 Materials and Experiments

# 3.1 Experimental Setup

To acquire reflectance spectra on the third eyelid of calves, we used a commercially available fiber-optic-based probe (Ocean Optics, Inc., Dunedin, Florida) with an outer diameter

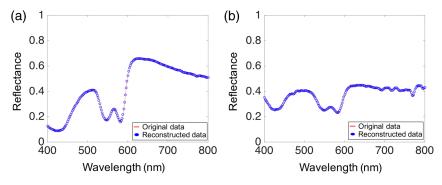


**Fig. 2** Representative spectra of various Hgb content from a series of tissue phantoms. The overall reflectance intensity for each Hgb sample decreases as Hgb content increases, while the unique Hgb spectral signatures at the Soret and Q bands become stronger. The top spectrum does not include any Hgb.

of 6.35 mm (1/4 in.). 39,40 Our intention of using the relative large-diameter optical probe was to minimize spectral variations associated the pressure exerted from the probe in contact with the tissue surface. 41-45 In particular, the effect of probe contact pressure is known to be significant with small-diameter miniature probes due to mechanical indentation effects. The optical reflectance probe consisted of one central optical fiber and six surrounding fibers, which work as a collection port and illumination ports, respectively. All of the fibers had the core dimeter of 400  $\mu$ m with a numerical aperture of 0.22 (i.e., acceptance angle of ~25 deg in air), and the separation distance between the collection and illumination was ~0.5 mm. A tungsten-halogen lamp (Ocean Optics, Inc., Dunedin, Florida) was coupled to the illumination ports, and a spectrometer in a range of 400 to 800 nm with a spectral resolution of 2 nm (Horiba Jobin Yvon, Edison, New Jersey) was coupled to the collection port. We also removed the background light and compensated for the system spectral responses using a reflectance reference standard (Labsphere, North Sutton, New Hampshire).<sup>21</sup> Figure 1(b) shows the optical system configuration for reflectance spectra data acquisition in a farm field, as shown in Fig. 1(c).

### 3.2 Tissue Phantom Test

To evaluate PLSR and the spectral reconstruction method under well-controlled conditions, we conducted a series of tissue phantom studies consisting of aqueous suspensions of microspheres (i.e., scatterers) and solutions of lyophilized Hgb (i.e., absorbers) in a similar manner in our previous studies.32,34 We first estimated the scattering properties (i.e., transport mean free path length and anisotropy factor) of the scattering media using Mie theory. 46 Polystyrene microspheres with a nominal diameter of 0.36 µm (Thermo Fisher Scientific Inc., Waltham, Massachusetts) were used, resulting in a scattering pathlength of 90  $\mu$ m and a anisotropy factor of 0.73 at  $\lambda = 600$  nm. Second, we gradually increased Hgb content by adding lyophilized Hgb (Sigma-Aldrich, St. Louis, Missouri) into the scattering suspension from 0 to ~23 mg/mL. The optical probe was submerged in the suspension with a total volume of 21.5 mL and a height of 15.0 mm and reflectance spectra were recorded. Figure 2 shows representative spectra from the tissue phantoms as a function of Hgb content. The overall reflectance intensity for each Hgb sample decreases as Hgb content increases, while the unique



**Fig. 3** (a, b) Spectra reconstructed from RGB signals in representative phantom and bovine samples, respectively. The reconstructed spectra significantly resemble the original spectra.

Hgb spectral signatures around 420, 540, 560, and 580 nm in the visible wavelength range (i.e., Soret and Q bands) are manifested.

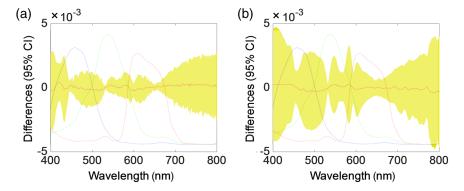
# 3.3 Pilot Animal Study: Blood Hgb Testing and Conjunctival Redness Scoring

To evaluate the feasibility of blood Hgb test-comparable optical Hgb assessments in animals, we tested a total of 56 Holstein bull calves at ~4 months of age that were located in a commercial veal calf facility in Ohio. They were pen-housed in groups of three from the time they arrived at ~4 to 6 weeks of age until they were marketed at 6 months of age. During the growing process, blood was routinely collected periodically from either all the calves or a subset of calves for CBC determination. The producers used blood Hgb values to determine whether any calves require iron supplementation. For our study, immediately before or after optical spectral measurements, we collected blood with a volume of 5 mL from the jugular vein into an evacuated ethylenediamine tetraacetic acid tube as an anticoagulant for blood collection. We made spectral measurements by lightly placing the optical probe against the third eyelid of restrained calves. We analyzed blood Hgb using a commercially available hematology system (CELL-DYN 3700, Abbott Diagnostics, Lake Forest, Illinois). Primarily, as the gold standard for definitive diagnosis, blood Hgb levels were used to correlate with spectral reflectance readings from the evelid. For a subset of calves (n = 31), we also obtained FAMACHA-like conjunctival redness readings in a score of 1 to 5 [pink/red to white mucous membranes in Fig. 1(a)] from two trained veterinarians and selected the lower score between two independent scores in each animal.

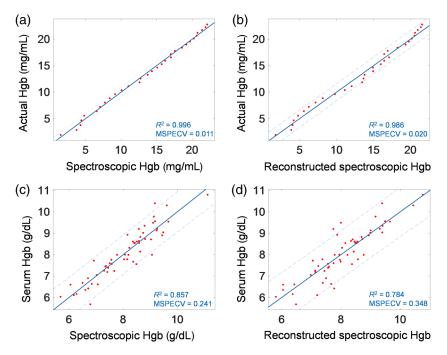
### 4 Results

# **4.1** Reconstruction of Reflectance Spectra from RGB

As shown in Figs. 3(a) and 3(b), the representative full spectra reconstructed from the RGB signals significantly resemble the original spectra in both phantom and bovine samples. Specifically, we estimated prediction errors between the reconstructed reflectance spectra and the original spectra. When 95% confidence intervals of mean differences (dark area) at each wavelength are shown in Fig. 4, the reconstruction error patterns (confidence intervals) in wavelength are strongly associated with the spectral sensitivities of the RGB camera sensor but not much related to the samples. For both phantom and bovine experiments, the confidence intervals at around  $\lambda = 400 \text{ nm}$ and  $\lambda = 700$  nm become wider. These limitations correspond directly to the low spectral sensitivities of the RGB camera as included on the background of Fig. 4. We also validated the reconstruction algorithm using leave-one-out cross-validation due to the relative small sample size. For the phantom study and the bovine experiment, the average  $R^2$  were 0.999 and 0.985, and the average RMSE of cross-validation were 0.0024 and 0.0068, respectively. As expected, the phantom samples in the strictly controlled environment have enhanced



**Fig. 4.** (a, b) Estimated prediction errors between reconstructed reflectance spectra and original spectra in phantom and bovine samples, respectively. 95% confidence intervals of mean differences (dark area) at each wavelength are depicted. The confidence intervals at around  $\lambda = 400$  nm and  $\lambda = 700$  nm become wider. These limitations are related to the low spectral sensitivities of the RGB camera as shown on the background (blue, green, and red curves).



**Fig. 5** (a, b) Correlations between actual and optically measured Hgb values from the full spectral data and the RGB data in the phantom study, respectively. Due to the reconstruction from RGB, the  $R^2$  value in (b) is slightly lower than that of the full spectral data in (a). (c, d) Correlations between actual and optically measured Hgb values from the full spectral data and the RGB data in the bovine study, respectively. Compared with those of the phantom study, the correlation values for both original and reconstructed reflectance spectra are slightly reduced, due to several factors including a narrow range of blood Hgb levels in our study population.

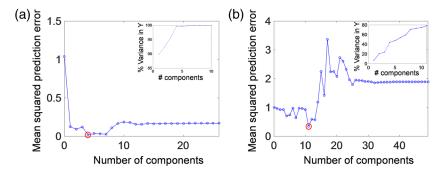
accuracy for the spectral reconstruction than the bovine ones. The bovine result still supports the feasibility of the RGB-based reconstruction approach that a blood Hgb level can be accurately predicted using a three-color sensor-based system.

# 4.2 Blood Hgb Correlations with RGB

Based on the full reflectance spectra reliably reconstructed from the RGB signals above, we determined correlations between actual Hgb and estimated Hgb from the reflectance data, using PLSR. For the phantom study, the PLSR method reliably predicted actual Hgb using the reflectance spectra or the RGB data. Figures 5(a) and 5(b) show correlations between the actual and optically measured Hgb values from the full spectral

data and the RGB data in the phantom study, respectively. Obviously, contributing from the reconstruction error from RGB, the  $R^2$  value in Fig. 5(b) is slightly lower than that of the full spectral data. For the bovine study, the  $R^2$  value of 0.857 shows the feasibility of the blood Hgb comparability using the full spectral reflectance measurements [Fig. 5(c)]. On the other hand, the correlations for both original and reconstructed reflectance spectra are reduced as shown in Figs. 5(c) and 5(d).

We also report mean squared prediction errors (MSPECV) through 10-fold cross-validation for each case in Fig. 5, which is an important metric of prediction accuracy for the PLSR models. Figures 6(a) and 6(b) show changes in MSPECV as the number of components increases in the PLSR model.



**Fig. 6** (a, b) Changes in MSPECV as a function of the number of PLS components in the phantom and bovine studies, respectively. Although an increased number of components in the PLSR model results in low prediction errors, MSPECV reaches to optimal numbers of PLS components [i.e., four in (a) and 11 in (b)]. Insets: The cumulative percentage of variances in the response variable (i.e., true Hgb) as a function of the number of components.

Initially, an increased number of components in the PLSR model contributes to better representation of variations in the observed variables (i.e., reflectance spectra) and the response variables (i.e., lab-based blood Hgb) simultaneously, thus making its prediction errors lower. As shown in the insets of Fig. 6, each component contributes to different percentage variations accounted for the response variables. However, as the number of partial least square (PLS) components increases, MSPECV reaches an optimal number of PLS components. Above these levels, the PLSR model starts overfitting, meaning that random noises in the spectral data can be involved in the model. As a result, the prediction error mostly increases again. In this aspect, we note that  $R^2$  would not be an ideal metric to use for assessing its predictive ability because  $R^2$  continues to grow with an increase in the number of components.

In the PLSR model, the degree of freedom can be understood in term of the number of components, which also captures the complexity of the model and is useful for determining the final model. Basically, our Hgb correlation model using PLSR allows us to increase the degree of freedom to have a higher correlation of optical Hgb and actual Hgb. However, we cannot continue to add more components as the complexity of the model increases. Indeed, for our final models, we limited the number of components, because a higher degree of freedom adds more errors. The optimal numbers of PLS components were determined to be four and 11 in the phantom and bovine studies, respectively. The behavior of the phantom data can be explained by a simpler model, compared with the bovine data.

# 5 Discussion

# 5.1 Limitations of Conjunctival Redness Reading

The presented method could potentially be useful for livestock that cannot be evaluated with the FAMACHA system. While FAMACHA is well established for sheep and goats, it has not yet been validated for cattle and calves.  $^{5,10,11}$  Such a conjunctival redness scoring system has limited applications in cattle and calves, although some studies found that hematocrit is linearly correlated with FAMACHA scores in calves.  $^{47}$  Indeed, we found no evidence of associations of conjunctival redness scores with blood Hgb levels in our study population. The conjunctival redness scoring result from a subset of the calves shows no significant correlation with blood Hgb levels ( $R^2 = 0.004$  with a p-value of 0.74), as shown in Fig. 7(a).

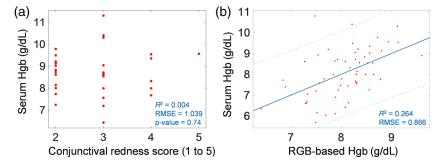
This null result of the FAMACH-like test indicates that conjunctival redness scoring in calves may be presented in a delayed manner for clinical anemia, compared with sheep and goats. Thus, our proposed method could potentially be beneficial for anemia detection of calves and cattle. The fact that the probe was physically placed on the third eyelid required the calf to be restrained for a short period of time but was less invasive than collecting blood.

# **5.2** Limitations of Conventional RGB-Based Photometric Technologies

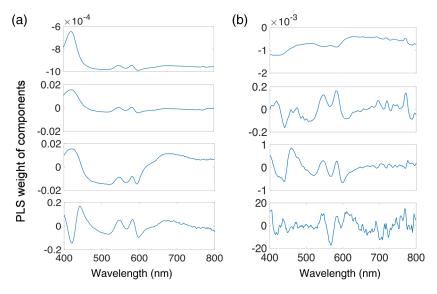
Clinical examination of pallor in conjunctiva, nailbed, tongue, and palm has been extensively studied for cost-effective and simple anemia assessments in humans. 16,19,48 Although conjunctival pallor is valuable information as a clinical sign for severe anemia, it cannot provide reliable diagnosis in clinical settings. Further, quantification of Hgb from conjunctival pallor using digital photography has received attention. 17,18 The common agreement in the medical community is that this method still lacks sensitivity and specificity for anemia diagnosis in conventional clinical settings. As a result, these previous studies strongly indicate that RGB information would not be sufficient to have reliable correlations with actual Hgb content. In our study, we also conducted multiple linear regression of blood Hgb levels (i.e., outcome variable) against RGB (i.e., predictor variables). Although the R (red) channel is associated with blood Hgb with a p-value = 0.047, the correlation coefficient is extremely low with  $R^2 = 0.26$  in Fig. 7(b). This regression result clearly supports the idea that detailed spectral information is mandatory for computing an absolute value of Hgb content. We note that this limitation of mere RGB information is also reflected in the conjunctival redness scoring result reported in Sec. 5.1. [Fig. 7(a)]. In this respect, the mathematical reconstruction of hyperspectral data from RGB can offer a new class of conjunctival pallor assessments for laboratory blood test-comparable anemia diagnosis.

# 5.3 Partial Least Square Component Analyses

To interpret the characteristics of the PLS components, we conducted spectral analyses of PLSR for the phantom study and bovine experiments. In Fig. 8, PLS components depict how the original variables (i.e., spectral reflectance intensities at each wavelength) contribute to the formation of the PLSR



**Fig. 7** (a) Association of conjunctival redness with blood Hgb levels. The conjunctival redness scoring from a subset of the calves (n=31) shows no significant correlation with blood Hgb levels, supporting the idea of RGB camera-based photonumeric anemia detection. (b) Blood Hgb correlation with mere RGB without spectral reconstruction in the bovine study. The result of multiple linear regression shows that mere RGB data without reconstruction do not provide sufficient information to reliably assess blood Hgb test-comparable Hgb.



**Fig. 8** (a, b) PLS weights of representative PLS components in the phantom and bovine spectral analyses, respectively. For the phantom data, first to fourth components are selected and for the bovine data, 1st, 5th, 7th, and 11th components are selected for display. The PLS weights show how strongly each component depends on the original predictors.

model. The PLS weights of components show which predicator variables are associated with the response variable and which ones convey critical information for identifying correlations with actual Hgb and spectroscopic Hgb. Several components have the unique Hgb spectral pattern around 420, 540, 560, and 580 nm in both phantom and bovine studies, which correspond to Soret and Q bands of Hgb molecules. Compared to the phantom study [Fig. 8(a)], the PLS weights of components in the bovine study are noisy especially above 650 nm. However, the similar spectral peaks or dips around 420, 540, 560, and 580 nm still reflect the Hgb absorption signatures in the visible range. Overall, the spectral shapes of the components are in good agreement with the Hgb signatures shown in the reflectance spectra (Figs. 2 and 3).

# 5.4 Probe Contact Pressure Issues

Although the relatively large-area probe was employed in contact with the third eyelid of calves, the probe pressure effect cannot be ruled out in our animal study, given that the immobility of the animals were not ensured during optical readings. Indeed, the influence of probe contact pressure on spectral reflectance measurements of tissue has been intensively investigated, because the exerted pressure can reduce local blood volumes. 42-45 Spectral alterations and temporal variations associated with contact pressure are a critical challenge for optical diagnosis in conjunction with endoscopy. In this respect, we are currently considering a few different noncontact configurations that can be implemented using RGB cameras or smartphones. We further expect that a camera-based FAMACH-like system will allow clinically relevant assessments of anemia, highly comparable to lab-based blood Hgb tests in cases where conjunctival redness readings are challenging.

# 5.5 Limitations of Our Study

Although other studies have examined the relationship between anemia and RGB photometric information, to our knowledge this is the first to examine associations between true Hgb and reconstructed full spectra in the third eyelid of calves. However, our animal study has limitations: first, a potential pitfall for the proposed training-based approach, such as RGBbased reconstruction, and PLSR is the requirement of a wide range of training set of reflectance spectra for accurate and precise prediction of actual Hgb. As a universal limitation for any training-based approaches, this requirement can involve a proper selection of samples, which in turn affects the prediction performance of estimating true blood Hgb levels. As discussed in Sec. 4.2. Blood Hgb correlations with RGB, one possible source of the lower performance in the bovine study stems from the narrow range of blood Hgb levels only from 6 to 11 g/dL. The normal range of Hgb is 9 to 15 g/dL in cattle and the cutoff for anemia is 7 g/dL in veal calves. This means that our study population included limited cases of moderate and severe anima. In addition, the lower  $R^2$  values in the animal study can be attributable to other several factors in the measurement environment, including the movement of calves during optical reading on the eyelid and the limited control of the ambient light in the farm field.

### 6 Conclusion

We have demonstrated the feasibility of blood Hgb test-comparable optical Hgb measurements at the third eyelid, which overcomes the limitation of conjunctival redness scoring in calves and cattle. In our animal study, we have incorporated optical device instrumentation, clinical hematology laboratory, and routine clinical examination in veterinary medicine. To enhance the correlation with blood Hgb levels, we have combined PLSR and full spectral reconstruction from RGB using spectral measurements on the third eyelid. Owing to the microvasculature exposed on the tissue surface, the third eyelid can be an ideal tissue site for assessing Hgb from individual animals. We have shown that the spectral analyses of PLSR using spectra reconstructed from RGB allows for accurately quantifying blood Hgb levels. We note that the current Hgb prediction model may be applicable for a relatively small range of blood Hgb levels in calves, due to the limited model training of the current

hyperspectral reconstruction method. Systematic studies including a clinically relevant large range of blood Hgb levels will allow us to develop accurate and precise prediction models in different species of animals. We envision that the reconstruction of detailed spectra from RGB signals could potentially offer simple instrumentation and avoid the use of a spectrometer, which is critical to develop a compact RGB camera-based anemia detection device. After establishing a stronger correlation with blood Hgb levels in a noncontact manner, we further expect that a needleless, noninvasive, cost-effective, user-friendly camera adjuvant for anemia examination will play a critical role in the accurate diagnosis of anemia in resource-limited settings in both veterinary medicine and human medicine.

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