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Abstract. Extreme ultraviolet (EUV) lithography is a promising candidate for next-generation lithography. To achieve an 11-nm node, a six-mirror EUV projection objective with a numerical aperture (NA) of 0.5 is designed with the grouping design method. In this design, pupil obscuration is introduced to decrease the angular spread on the mirrors, which still makes the six-mirror objective with aspheric surfaces sufficient for aberration correction. The grouping design allocates the complexity of designing a whole system to each of the mirror groups and could compatibly apply to designing EUV projection objectives with obscuration. The ×8 reduction design serves as an example for illustrating the grouping design principles for this type of system. In addition, the specific design forms with different reductions are presented and discussed. Design of these six-mirror objective systems provides potential solutions for 11-nm node EUV lithography. © The Authors. Published by SPIE under a Creative Commons Attribution 3.0 Unported License. Distribution or reproduction of this work in whole or in part requires full attribution of the original publication, including its DOI. [DOI: 10.1117/1.JMM.13.3.033014]

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1 Introduction

Projection objective is a key component of an extreme ultraviolet (EUV) lithography tool. To achieve an 11-nm resolution, a higher numerical aperture (NA) of the objective system around 0.45 is required. The increase of the NA makes a traditional six-mirror design without obscuration inadequate to correct aberration. Under such a high NA, an eight-mirror design without obscuration could achieve the required imaging performance. However, the transmission would be reduced to ~40% of the six-mirror system and the integration and maintenance would be more difficult. The design with a central obscuration has a smaller difference of angles of the mirrors, which enables a well-designed correction for a six-mirror system. However, few design methods for the objective system with a central obscuration have been proposed in the past.

We have developed a grouping design method with paraxial analysis.^{4,5} The multimirror projection objective without obscuration could be acquired with this method. In this paper, we extend the grouping design method to the design of a high-NA projection objective with central obscuration. Moreover, real ray analysis⁶ is used instead of paraxial analysis, which avoids the discrepancy of the ray path induced by paraxial approximation. In the design process, the whole system is divided into three mirror groups and each group is calculated under a specific design constraint. At first, the initial parameters of the object-side group are determined according to a nonobscuration constraint. To control the size of the obscuration, the ratio of obscuration on the last folding mirror (M5) and the exit mirror (M6) is taken as a design constraint of the image-side group. The initial parameters of the image-side group can be determined with the obscuration-ratio constraint. Once the parameters of

the object-side and image-side groups are determined, all the parameters of the middle group can be calculated with the conjugation constraint based on real ray tracing equations and the Petzvalsum condition. Using real ray tracing analysis, the pupils of the three mirror groups could exactly match. Thus, the three-mirror groups can be directly connected into a feasible initial system. We take a six-mirror system with an NA of 0.5 as a design example. With further optimization, this ×8 reduction design has a composite root-mean-square (RMS) wavefront error of 0.027λ ($\lambda = 13.5$ nm) across a 13×1 mm ring field at the wafer and the size of obscuration is smaller than 30% of the radius of the pupil. In addition, we also propose ×4 and ×5 designs as potential solutions for an 11-nm node EUV lithography. The design result shows that the grouping design method provides an effective approach to obtain high-NA EUV objective systems with obscuration.

2 Description of Objective System

EUV lithography requires a completely reflective optical system and a reflective mask for its short wavelength. A NA of objective around 0.33 is adequate to achieve 18-nm node. Under such a NA, a highly aberration-corrected image field can be achieved by a traditional six-mirror design without obscuration. Figure 1 shows a six-mirror EUV lithographic objective without obscuration. However, to enable the 11-nm node, a higher NA (around 0.45) is required. This increase of the NA leads to a larger geometric size for the exit mirror (M6). Consequently, the difference between the incident angles on the last folding mirror (M5) becomes quite large in order to meet the nonobscuration constraint. In this condition, a six-mirror design without obscuration is not adequate for aberration correction and multilayer compatibility.

In order to reduce the angular variation of the mirror, sixmirror designs with central obscuration were presented.⁹ Figure 2 shows such a projection objective system. The

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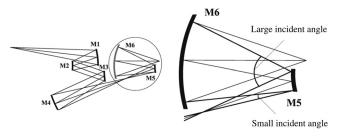


Fig. 1 Six-mirror projection objective without obscuration.8

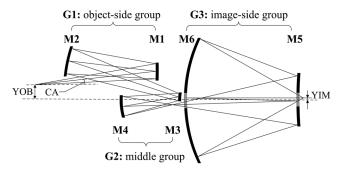


Fig. 2 Six-mirror projection objective with obscuration and the strategy of grouping design.

incident beam from M1–M4 passes through the hole of the exit mirror (M6) onto the folding mirror (M5) and exits from the objective system through a hole in the folding mirror. As nonobscuration is no longer a design constraint, the angular variation on the mirrors can be largely reduced. In this way, aberrations can be well corrected with a six-mirror system.

Increasing the NA could definitely improve the resolution; however, the introduction of obscuration would deteriorate the printability of some of the intermediate pitches above the resolution limit. Accordingly, control of the obscuration size is essential to enable a sufficient through-pitch behavior (the ability to achieve a good printability for all pitches above the resolution limit) of the objective. We take the ratio of obscuration as a design constraint. The detail design process will be shown in Sec. 3. In addition, to avoid the intersection between the light cones of the illuminator and objective, the chief ray angle at the mask (CA) should be

$$CA > \arcsin(NA/|M|).$$
 (1)

At the same time, to reduce the mask-induced effects, the CA is required to have a small value. ¹⁰ Thus, the reduction of the objective system (M) should be adjusted according to the required NA of the objective system. Design forms with different reductions will be shown in Secs. 4 and 5.

3 Optical Design

3.1 Strategy of Grouping Design

As to the design of an EUV projection objective system, a designer always follows the typical steps: choosing an initial structure as a starting point, setting reasonable variables, and optimizing the system with optical design software to achieve a qualified performance. The choice of an adequate initial design plays a significant role in obtaining a high-quality EUV lithographic objective. 11,12 However, for an

EUV objective system, the large number of variables and design requirements make the optical design difficult. A grouping design method is generally applied for designing a complicated optical system. Because of the grouping design, the complexity of designing a whole system is allocated to each of subsystems. In this section, the grouping design method with real ray tracing is extended to design initial configurations of a high-NA projection objective with obscuration. In our grouping design strategy, the objective system is divided into an object-side group (G1), a middle group (G2), and an image-side group (G3); it is shown in Fig. 2. The initial parameters of each mirror group are determined by real ray calculation under design constraints such as the nonobscuration constraint, conjugation constraint, and obscuration-ratio constraint. Finally, the three mirror groups are directly connected into a feasible initial system.

Before the grouping design process, several system parameters should be set first according to design requirements. The numerical aperture on the image side (NA) is set according to the requirement of resolution; the image height (YIM) should be set appropriately to ensure a small obstruction on the last folding mirror (M5); the chief ray angle at the mask (CA) is determined according to the following principles: (1) separating the lights cones of the illuminator and objective (2) keeping small mask-induced effects; the reduction of the system (M) is set to ensure an appropriate value of CA (see the Eq. (1). When the above parameters are determined, the object height (YOB) and the numerical aperture on the object side (NAO) can be calculated by

$$NAO = NA/|M| \tag{2}$$

$$YOB = YIM \times |M|. \tag{3}$$

3.2 Object-Side Group and Nonobscuration Constraint

The object-side group (G1) is a relay system, and it is designed to create an intermediate image from the object field. The ray path of G1 is shown in Fig. 3. G1 includes four configuration parameters: the radius of M1 (r_1) , the radius of M2 (r₂), the distance between the mask and M1 (L_1) and the distance between M1 and M2 (d_1) , where L_1 and d_1 are taken as independent variables and r_1 and r_2 can be calculated according to the design constraint. Unlike our previous work, here the position of the aperture stop is set to keep the required CA and it might not coincide with any mirror. Thus, the aperture stop constraint may be invalid. Instead, a nonobscuration constraint is used to determine the values of r_1 , and the obscuration is not allowed in G1. To avoid obscuration, there should be clearance between the clear aperture of a mirror and the real ray beam nearby. The value of clearance is determined by the edge size of a mirror and assembly requirements. According to the nonobscuration constraint, r_1 and r_2 can be calculated by

$$r_{1} = h_{a1} / \sin \left\{ \frac{U_{a1}}{2} + \frac{\arctan[(CL_{2} + h_{bD2} - h_{a1})/(-d_{1} + z_{a1} - z_{a2})]}{2} \right\},$$
(4)

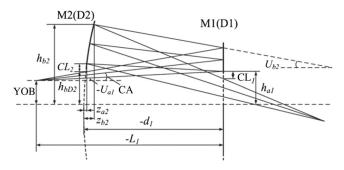


Fig. 3 Ray path of object-side group.

$$r_{2} = h_{b2} / \sin \left\{ \frac{U_{b2}}{2} + \frac{\arctan[(CL_{1} - h_{a1} + h_{b2})/(-d_{1} - z_{b2} + z_{bD1})]}{2} \right\},$$
(5)

where Di is the virtual surface of Mi, h_{ai} represents the upper ray height of Mi, h_{bi} represents the lower ray height of Mi (Di), U_{ai} represents the upper marginal ray aperture angle of Mi on the object side, U_{bi} represents the lower marginal ray aperture angle of Mi on the object side, z_{ai} represents the distance between the upper marginal ray incidence point on the Mi and the vertex of Mi, z_{bi} represents the distance between the lower ray incidence point on Mi (Di) and the vertex of Mi (Di), and CL_i is the clearance mentioned above and represents the longitudinal distance between the clear aperture of the Mi and the ray beam nearby.

Equations (4) and (5) are iterative equations and the starting values of r_1 and r_2 are set to infinity. When independent variables L_1 and d_1 are determined according to the limitation of the total track of the objective, the unknown data $(h_{a1}, h_{bD2}, h_{b2}, U_{a1}, U_{b2}, z_{a1}, z_{a2}, z_{b2}, z_{bD1})$ used to calculate r_1 and r_2 in Eqs. (4) and (5) can be acquired by real ray tracing with the commercial optical design software (since NAO, YOB, and CA were determined before, the ray tracing of G1 can be processed). By substituting the above ray-tracing data and CL_i into Eqs. (4) and (5), new values of r_1 and r_2 can be calculated. Updating the values of r_1 and r_2 and then tracing the ray path again, new ray-tracing data will be obtained. After following such iterative computations several times, the values of r_1 and r_2 will converge and the final results can be obtained. It should be noticed that the values of L_1 , d_1 and CL_i should be set appropriately to obtain an adequate configuration of G1.

3.3 Image-Side Group and Obscuration-Ratio Constraint

The image-side group (G3) provides the objective system a high NA. The configuration parameters of G3 include the radius of M5 (r_5) , the radius of M6 (r_6) , the distance between the wafer and M6 (L_6) , and the distance between M5 and M6 (d_5) . G3 is designed in a reverse sequence and the ray path of G3 is shown in Fig. 4. The chief ray is parallel to the optical axis at the wafer, and G3 is telecentric in object space.

The ratio of obscuration on the Mi (ratio_i) is defined as the radius ratio of the hole to the mirror (Mi). In G3, ratio_i should

be controlled. According to obscuration-ratio constraint, r_6 and r_5 can be calculated by

$$r_{6} = h_{a6} / \sin \left\{ \frac{U_{a6}}{2} - \frac{\arctan[(h_{bD5} / \text{radio}_{5} - 2h_{bD5} + h_{a6}) / (-d_{5} + z_{a6} - z_{bD5})]}{2} \right\},$$

$$r_{5} = h_{b5} / \sin \left\{ -\frac{U_{b5}}{2} + \frac{\arctan[(h_{b5} - h_{b6} \times \text{radio}_{6}) / (-d_{5} + z_{bD6} - z_{b5})]}{2} \right\},$$

$$(7)$$

where Di is the virtual surface of Mi, h_{ai} represents the upper marginal ray height of Mi, h_{bi} represents the lower marginal ray height of Mi (Di), U_{ai} represents the upper marginal ray aperture angle of Mi on the object side, U_{bi} represents the lower marginal ray aperture angle of Mi on the object side, z_{ai} represents the distance between the upper marginal ray incidence point on Mi, and the vertex of Mi, and z_{bi} represents the distance between the lower marginal ray incidence point on Mi (Di) and the vertex of Mi (Di). The determination of initial values and the iterative calculation of G3 are similar to that of G1. In the same way, the initial design of G3 can be completed.

3.4 Middle Group and Conjugation Constraint

The purpose of the middle group (G2) is to connect the ray paths of G1 and G3. The layout of G2 is shown in Fig. 5. Five configuration parameters: the radius of M3 (r_3) , the radius of M4 (r_4) , the distance between M3 and M4 (d_3) , the distance between entrance pupil and M3 (L_{z3}) , and the distance between the exit pupil and M4 (L'_{z4}) are contained in G2.

In the calculation of G2, the conjugation constraint is used, which includes the real ray tracing equations and the Petzval equation. To connect the ray paths of G1 and G3, the entrance (exit) pupil of G2 should match the exit (entrance) pupil of G1 (G3) for both position and diameter. Thus, the parameters of G2 should meet the real ray tracing equations. According to ray path of the chief ray, we obtain

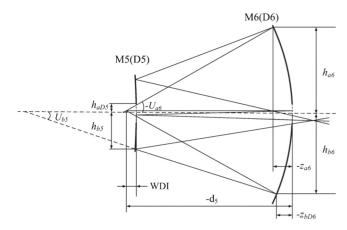


Fig. 4 Ray path of image-side group.

$$\sin I_{z3} = \frac{L_{z3} - r_3}{r_3} \times \sin U_{z3}$$

$$U'_{z3} = U_{z3} + 2I_{z3}$$

$$L'_{z3} = r_3 \times \left(1 - \frac{\sin I_{z3}}{\sin U'_{z3}}\right)$$

$$L_{z4} = L'_{z3} - d_3$$

$$\sin I_{z4} = \frac{L_{z4} - r_4}{r_4} \times \sin U'_{z3}$$

$$U'_{z4} = U'_{z3} + 2I_{z4}$$

$$L'_{z4} = r_4 \times \left(1 - \frac{\sin I_{z4}}{\sin U'_{z4}}\right),$$
(8)

where I_{zi} represents the chief ray incidence angle of Mi, U'_{zi} represents the chief ray aperture angle of Mi on the image side, L_{zi} represents the intercept of the chief ray of Mi on the object side, and L'_{zi} represents the intercept of the chief ray of Mi on the image side.

According to the ray path of the lower ray, we obtain

$$L_{b3} = L_{z3} + \frac{\eta_2}{2 \times \tan U_{b3}}$$

$$\sin I_{b3} = \frac{L_{b3} - r_3}{r_3} \times \sin U_3$$

$$U'_{b3} = U_{b3} + 2I_{b3}$$

$$L'_{b3} = r_3 \times \left(1 - \frac{\sin I_{b3}}{\sin U'_{b3}}\right)$$

$$L_{b4} = L'_{b3} - d_3$$

$$\sin I_{b4} = \frac{L_{b4} - r_4}{r_4} \times \sin U'_{b3}$$

$$U'_{b4} = U'_{b3} + 2I_{b4}$$

$$L'_{b4} = r_4 \times \left(1 - \frac{\sin I_{b4}}{\sin U'_{b4}}\right)$$

$$L'_{b4} = L'_{z4} - \frac{\eta'_2}{2 \times \tan U'_{b4}},$$
(9)

where I_{bi} represents the lower marginal ray incidence angle of Mi, U_{bi} represents the lower marginal ray aperture angle of Mi on the object side, U_{bi} represents the lower marginal ray aperture angle of Mi on the image side, L_{bi} represents the intercept of the lower marginal ray of Mi on the object side, and L_{bi}' represents the intercept of the lower marginal ray of Mi on the image side.

Furthermore, to meet the aplantic condition, the Petzval sum of the whole objective system should be zero. Therefore, the radii r_3 and r_4 should satisfy the equation

$$\frac{1}{r_3} - \frac{1}{r_4} = \left(-\frac{1}{r_1} + \frac{1}{r_2}\right) + \left(-\frac{1}{r_5} + \frac{1}{r_6}\right). \tag{10}$$

Equations (8)–(10) are called the conjugation constraint. In the conjugation constraint, $\eta_2(\eta_2')$ is the entrance (exit) pupil diameter of G2, which should exactly coincide with the exit (entrance) pupil of G1 (G3); U_{z3} (U_{b3}) is the chief (lower marginal) ray aperture angle of M3 on the object side; $U'_{z4}(U'_{b4})$ is the chief (lower marginal) ray aperture

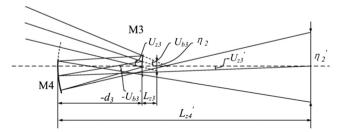


Fig. 5 Ray path of middle group.

angle of M4 on the image side. These six parameters and $r_1 - r_4$ can be derived from G1 and G3, therefore, 17 independent equations and 17 variations are included in the conjugation constraint. All the five configuration parameters of G2 are contained in the 17 variations and can be acquired by solving the conjugation equations. There may be many solutions for G2 because the conjugation equations are nonlinear. In this condition, the configuration of G2 with lower incidence angles on the mirrors and free obstruction will be chosen to directly connect G1 with G3. Indeed, spherical initial configuration of the objective with limited obscuration is obtained.

4 Performance

The spherical initial configuration is a starting point, and further optimization is needed to achieve a good performance. In the optimization process, the aspheric coefficients must be added. Optimization may cause changes of the light path from the initial design, therefore, the obscuration of the system should also be controlled in the optimization process. A six-mirror objective system with an NA of 0.5 and an obscuration of the pupil was designed with this method. The layout of the objective is shown in Fig. 6. The system prescription is described in Table 1. The sag of the aspheric surface in the direction of the *z*-axis is given by

$$z = \frac{ch^2}{1 + \sqrt{1 - (1 + K)c^2h^2}} + Ah^4 + Bh^6 + Ch^8 + Dh^{10} + Eh^{12} + Fh^{14} + Gh^{16},$$
(11)

where h is the radial distance from the optical axis, c is the curvature of the surface, K is the conical constant, and A, B, C, D, E, F, and G are the 4th, 6th, 8th, 10th, 12th, 14th, and 16th-order deformation coefficients. The optical characteristics are shown in Table 2.

This ×8 reduction design has a CA of 6 deg, which is advantageous for compatibility with the reflective mask. However, a quarter separation of the exposure field is

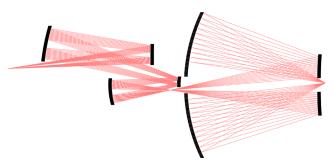


Fig. 6 Layout of the ×8 reduction design.

required to fit a 6 in. mask. In a 13×1 mm-ring field at the wafer, the objective system has a composite RMS wavefront error of 0.027λ ($\lambda = 13.5$ nm) and the distortion is corrected to better than 0.7 nm. The RMS wavefront error versus the ring field position is shown in Fig. 7. The size of obscuration is smaller than 30% of the radius of the pupil, which ensures a sufficient through-pitch behavior of the objective. The modulation transfer function (MTF) is shown

in Fig. 8 and it shows that the image quality is close to the diffraction limitation. The resolution of an EUV lithographic system depends on the NA and resolution enhancement technologies. Combined with resolution enhancement technologies such as off-axis illumination and optical proximity correction, ¹³ this six-mirror objective with an NA of 0.5 could provide a potential solution for 11-nm node EUV lithography.^{2,3}

Table 1 Lens prescription.

Surface	RDY	THI	GLA	
OBJ	INFINITY	684.43120		
1	21441.72575	-513.10911	REFL	
ASP				
K: 0	A: -1.829084E-10	B: -1.637267E-16	C: 6.484759E-21	
D: -9.324759E-26	E: -2.283438E-31	F: 1.480353E-35	G: -8.298172E-41	
2	1006.51052	640.73218	REFL	
ASP				
K: 0	A: -3.795110E-11	B: -7.973557E-17	C: 3.702185E-22	
D: -6.248811E-27	E: 4.703465E-32	F: -2.347365E-37	G: 5.794319E-43	
3	222.45090	-313.33351	REFL	
ASP				
K: 0	A: 2.525682E-09	B: 6.865545E-13	C: -2.845900E-17	
D: 5.093453E-22	E:0	F:0	G:0	
4	364.92709	983.41754	REFL	
ASP				
K: 0	A: 1.066755E-09	B: 1.185820E-14	C: 1.193351E-19	
D: 2.883991E-24	E: -3.586018E-29	F:0	G:0	
5	2377.51667	-214.27182	REFL	
ASP				
K: 0	A: 1.043997E-09	B: 2.761338E-15	C: 1.137145E-20	
D: 2.485095E-25	E: -1.101132E-29	F: 3.674026E-34	G: -4.667054E-39	
STOP	INFINITY	-407.55622	REFR	
7	815.01649	661.02127	REFL	
ASP				
K: 0	A: 2.278409E-11	B: 5.181129E-17	C: 9.008417E-23	
D: 9.313012E-29	E: 7.332992E-34	F: -2.469913E-39	G: 7.069546E-45	
IMG	INFINITY	0		

Table 2 Optical characteristics of the ×8 reduction design.

Wavelength	13.5 nm
Numerical aperture	0.5
Ring field	13×1 mm
Reduction	8
Total track length	1521 mm
Back working distance	39 mm
Chief ray angle of incidence at mask	6°
Telecentricity on wafer	0.0003°
Wavefront error (RMS)	0.027λ
Max distortion	0.7 nm

5 Other Solutions

The grouping design method has the ability to generate new design forms. Resetting the initial system parameters (such as the NA and reduction) and the dependent variables in G1 and G3, the new design forms for G1 and G3 can be acquired. The design form of G2 will be changed accordingly to connect the ray paths of the new G1 and G3. Connecting the three groups, a new initial configuration will be generated. With the grouping design method, we present another two design forms for an 11-nm EUV projection objective.

One of the design forms has a reduction of 4, and its layout is shown in Fig. 9. This ×4 reduction design has an NA of 0.41 and a full field size of $26 \times 33 \text{ mm}^2$ at the wafer; accordingly the size of the mask is $104 \times 132 \text{ mm}^2$, which fits with a 6-in. mask and a single exposure. The CA of this

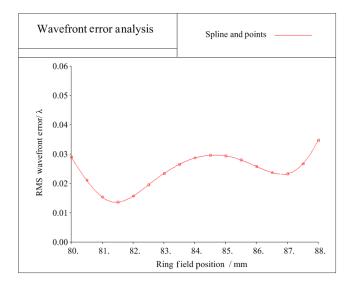


Fig. 7 RMS wavefront error of the system.

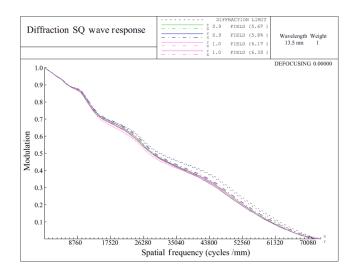


Fig. 8 MTF of the system.

design is 7.5 deg and the mask effects should be carefully taken into consideration.

The ×5 reduction design has an NA of 0.45 and a CA of 7.0 deg. To fit the 6-in. mask, the half-field size at the wafer can be set to $16.5 \times 26 \text{ mm}^2$ and twice the exposure is needed. The layout of the ×5 reduction design is shown in Fig. 10. All the potential solutions mentioned in this paper are summarized in Table 3.

All the three options for the projection objective have a central obscuration and could match a 6-in. mask. From a throughput point of view, the ×4 design has a full-field scan and the highest efficiency. However, the ×8 design has the largest NA and it would be advantageous from a mask-effects point of view. The ×5 design is a compromised solution in terms of NA, field size, and mask effects.

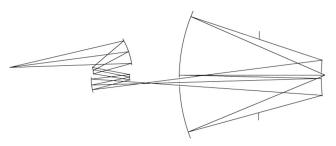


Fig. 9 Layout of the ×4 reduction design.

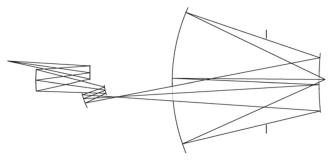


Fig. 10 Layout of the ×5 reduction design.

Table 3 Solutions for high-NA projection objective.

Design	Reduction	Ring-field size (mm)		NA	N mirror	Obscuration
1	4	26×1	6	0.41	6	Yes
2	5	16.1 × 1	6	0.45	6	Yes
3	8	13×1	6	0.5	6	Yes

6 Conclusion

In this paper, a grouping design method with real ray tracing was extended to the design of an objective system with obscuration. Grouping design allocated the complexity of designing a whole system to each of the mirror groups. Real ray analysis was used instead of paraxial analysis, which avoids the discrepancy of the ray path induced by paraxial approximation. To control the size of pupil obscuration, the ratio of obscuration on the last folding mirror (M5) and exit mirror (M6) was taken as a design constraint of the imageside group, which enables a sufficient through-pitch behavior of the optics. Three specific design forms for 11-nm EUV projection objectives were presented and the performance of the ×8 design was analyzed in detail. The result shows that the grouping design method provides an effective approach to obtain high-NA EUV objective systems with obscuration. Designing this type of objective system provides potential solutions for an 11-nm node EUV lithography.

Acknowledgments

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